INFORMATION DISCLOSURE CITATION TO AN APPLICATION OFFICE 1440

ATTY. DOCKET NO. SERIAL NO. 50277-2241 10/697,070

APPLICANT: . Keith Alan Hankin

(PTO-1449)

FILING DATE: October 29, 2003

GROUP: 2177 2163

1

Exam.	Cite	Foreign Patent Document		ocument	Name of Patentee or Applicant	Date of	Pages,	Тé
Initial*	No. ¹	Office ³	Number ⁴	Kind Code ³ (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	•
AL		EP	0518574	A2	IBM Corporation	12-16-1992		

		OTHER ART - NO PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
AL		INTERNATIONAL SEARCHING AUTHORITY, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/036122, dated 01-05-2006, 13 pages,	
AL		Current Claims, PCT/US2004/036122, dated January 24, 2006, 4 pages	·
AL		ORACLE CORPORATION, "Oracle9i - Database Concepts, Release 2 (9.2)," part No. A96524-01, March 2002, XP002360772, 70 pages.	
AL		ORACLE CORPORATION, "Oracle9i – Database Performance Tuning Guide and Reference Release 2 (9.2)," Part No. A96533-01, March 2002, XP002360773, 52 pages.	
AL		ORACLE CORPORATION, "The Self-Managing Database: Proactive Space & Schema Object Management," An Oracle White Paper, November 2003, XP002360725, pp. 3-20.	

- 6				
1	Examiner	/ /	Date Considered	05/24/2006
1	Signature	/Angela Lie/		05/24/2006

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹Unique citation designation number. ¹See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION



 Docket:
 2002-0124.02
 Appln. No.:
 10/679,070

 Applicant:
 Mary C. Smoot et al.

 Filed:
 October 2, 2003
 Group:
 2163

U.S. PATENT DOCUMENTS

& TRAB	U.S. PATE	ENT DOCUMENTS				
Document No.	Date	Name	Class	Sub		
2004/0089171	05/2004	Jiang et al.				
6,371,017	04/2002	Yamazaki et al.				
5,807,606	09/1998	Mould et al.				
5,627,108	05/1997	Alibocus et al.				
						•
					1	
FOR	EIGN PATE	ENT DOCUMENT			Tra	ans
Document No.	Date	Country	Class	Sub	Y	N
	Document No. 2004/0089171 6,371,017 5,807,606 5,627,108 FOF Document No.	Document No. Date 2004/0089171 05/2004 6,371,017 04/2002 5,807,606 09/1998 5,627,108 05/1997 FOREIGN PATE Document No. Date	Document No. Date Name 2004/0089171 05/2004 Jiang et al.	Document No. Date Name Class	Document No. Date Name Class Sub	Document No. Date Name Class Sub

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner: /Angela Lie/ Date Considered: 11/08/2006

^{*} Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Under the Paperwork Reduction Act of Substitute for form 1449A/PTO

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE quired to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10697070
Filing Date	10/03/2003
First Named Inventor	Mary C. Smoot
Art Unit	2163
Examiner Name	Angela M Lie

Angela M Lie

(Use as many sheets as necessary)

INFORMATION DESC STATEMENT BY APP

of Sheet |

Attorney Docket Number 2002-0124.02

		•	U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number:Kind Code ^{2 (7 known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AL _		^{US-} 4680859	07/21/1987	Johnson	
		^{US-} 4935750	06/19/1990	Hawkins	
		^{US-} 5435961	07/25/1995	Micciche	
		us- 5450113	09/12/1995	Childers et al.	
		US- 5478700	12/26/1995	Gaynes et al.	
		^{US-} 5515089	05/07/1996	Herko et al.	
		US- 5538586	07/23/1996	Swanson et al.	
		US- 5539153	07/23/1996	Schwiebert et al.	
	·	us- 5736998	04/07/1998	Caren et al.	
		^{US-} 5905513	05/18/1999	Brandon et al.	
		US- 6076912	06/20/2000	Murthy	
		^{US-} 6098257	08/08/2000	Koido et al.	
		^{US-} 6244696	06/12/2001	Tran et al.	
		^{US-} 6267472	07/31/2001	Maher et al.	
		^{US-} 6290340	09/18/2001	Kitahara et al.	
		US- 6328423	12/11/2001	Wong et al.	
\/		US- 6361152	03/26/2002	Fujisawa	
V		^{US-} 6386434	05/14/2002	Wong	ı

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ6				

			٦
Examiner		Date	l
Signature	/Angela Lie/	Considered	11/08/2006
Signature	\widera mie\	1] ~2,00,2000

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE red to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are

JUL 0 6' 2004 Substitute for form 1449A/PTO

INFORMATION DISCL STATEMENT BY APPLICA

Complete if Known **Application Number** 10697070 Filing Date 10/03/2003 First Named Inventor Mary C. Smoot **Art Unit** 2163 **Examiner Name** Angela M Lie

(Use as many sheets as necessary) Attomey Docket Number 2 2002-0124.02 Sheet of

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (V known)}	Publication Date MM-DD-YYYY	Name of Patentae or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AL		^{US-} 6495199	12/17/2002	Kaiser et al.	
		^{US-} 6508170	01/21/2003	Katsuoka et al.	
		US- 2001/0015744	08/23/2001	Feinn et al.	•
		^{US-} 2001/0043252	11/22/2001	Feder et al.	
		US- 2002/0051042	05/02/2002	Takagi et al.	
		^{US-} 2002/0105562	08/08/2002	Miyagawa et al.	
		US- 2002/0128340	09/12/2002	Young et al.	
V		US- 2002/0185482	12/12/2002	Cobb et al.	
		US-	· · · · · · · · · · · · · · · · · · ·		
		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τʻ			
				·		_			
						┝			
					· · · · · · · · · · · · · · · · · · ·	┝			
	-		-			┝			

Examiner		Date	
Signature	√Angela Lie/	Considered	11/08/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional): See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patente, P.O. Box 1450, Alexandria, VA 22313-1450.